

Schedule of Internal Audit Projects 2014-15

Full Reviews				Recommendations			
Project	Planned Days	Planned Completion Date	Current Stage	Total Red	Total Amber	Total Green	Total
<p>POLICE OVERTIME</p> <p>This review will examine compliance with approved procedures. It will also consider any opportunities for efficiencies, for example, changes to shift patterns to reduce the requirement for overtime.</p>	20	30 th May 2015 (Actual)	Completed	1	1	0	2
<p>PBX Fraud</p> <p>This is a real threat that can cost an organisation hundreds of thousands of pounds, indeed the CoL has been subject to such a fraud.</p> <p>The risk of further fraud is judged as a possibility and with a potential significant impact, therefore, Internal Audit decided to undertake three assurance reviews concerning CoL/Agilisys (covering numerous PBXs), Barbican Centre and the City of London Police per this review.</p>	10	9 th February 2015 (Actual)	Completed	0	2	5	7

Project	Planned Days	Planned Completion Date	Current Stage	Total Red	Total Amber	Total Green	Total
PBX Resilience During the PBX fraud review, a significant finding relating to the resilience of the PBX system was identified and has been reported separately.		9 th February 2015 (Actual)	Completed	3	1	0	4
IT – Disaster Recovery This review intended to benchmark the IT Disaster Recovery Plan against several criteria including ISO 22301 and any specific ACPO requirements.	15	3 rd March 2015 (Actual)	Completed	1	0	0	1
BUSINESS CONTINUITY/DISASTER RECOVERY The reviews key objective is to determine the assurance level of the system in dealing with a wide range of incidents. Both a comparative and benchmarking review will be performed; this will be an amalgam of standards tailored to the characteristics of the CoLP's objectives.	15	9 th February 2015 (Actual)	Completed	0	1	5	6
POLICE INVOICES ON HOLD The Director of Corporate Resources requested that an audit review was undertaken to determine the reason why invoices had not been paid in respect of a temporary member of staff.	5	30 th April 2015 (Actual)	Draft Report Outcome to be reported to next committee meeting	3	1	0	4

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Mini Assurance Reviews							
HMIC ASSURANCE REVIEW This review was requested by the Assistant Commissioner and forms part of the overall preparation of City Police benchmarking performance data for the Police Committee.	5	3 rd November 2014 (Actual)	Completed	0	0	0	0
INFORMANTS FUNDS This review will examine compliance with approved procedures and include sample testing of records in order to determine their accuracy.	10	31 st March 2015 (Actual)	Completed	0	0	0	0
POLICE OFFICERS' PENSIONS The purpose of this review is to test a sample of pensions' payments and calculations for compliance with the Police Pensions Scheme.	10	12 th February 2015 (Actual)	Completed	0	0	0	0
POLICE BANK ACCOUNTS This review will examine the maintenance of bank accounts opened for prisoners and proceeds of crime.	5	30 th November 2014 (Actual)	Completed	0	6	1	7

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Spot Check Reviews							
CASH INCOME	3	30 th January 2015 (Actual)	Completed	0	0	4	4
PROPERTY & FRONT OFFICES	2	30 th January 2015 (Actual)	Completed	0	0	0	0